



# BUILDING A 401(k) PLAN WITH iSHARES® FUNDS

## Evaluating the iShares 401(k) Plan preferred providers and preferred networks

Get ready to retire the old ideas of what a 401(k) plan has to be—iShares in 401(k) plans are an idea whose time has come. With their low costs, transparent fees, and their ability to capture targeted asset class exposure, iShares Exchange Traded Funds (ETFs) offer a number of advantages for constructing a 401(k)

plan. A select range of preferred providers and preferred networks have developed solutions to enable you to offer iShares ETFs alongside mutual funds in your clients' 401(k) plans. The table below helps you identify a solution that makes the most sense for your goals in building a retirement plan using iShares Funds.

### PREFERRED PROVIDERS: ADMINISTRATORS WHO OFFER BUNDLED ETF 401(k) SOLUTIONS

		
<b>Organization/program</b>	AdvisorETF401k	PrudentAdvisor™
<b>Program information</b>	<ul style="list-style-type: none"> <li>■ More than 20 years experience</li> <li>■ Over 13,000 plans under administration</li> <li>■ Greater than 2,000 ETF plans under administration</li> </ul>	<ul style="list-style-type: none"> <li>■ More than 30 years experience</li> <li>■ 27,000 plans under administration</li> </ul>
<b>Location</b>	De Pere, WI	Dresher, PA
<b>Size of plans administered</b>	1–250 employees	No plan restrictions
<b>Hard dollar fees</b>	\$475/year or \$950/year plus \$15/participant over 50*	\$4,350/year plus \$55–75/participant over 50
<b>Asset-based fees and commissions</b>	30–32 bps No commissions	No asset-based fee \$0.02–\$0.04/share
<b>TPA and trustee services</b>	PAi TPA	Ascensus or local TPA Directed or self trustee
<b>Other services</b>	Integrated payroll	Model portfolios ERISA consulting
<b>Contact information</b>	1-866-255-5156 (option 5) or <a href="http://www.pai.com/AdvisorETF401k">www.pai.com/AdvisorETF401k</a>	1-866-547-8806

\* Waived for plans with 50 or more participants and an average account balance of \$20,000. Descriptions of PAi's solutions are based on information provided by PAi. Descriptions of Ascensus's solutions are based on information provided by Ascensus.

**PREFERRED NETWORKS:**

**FIRMS WHO OFFER ETF 401(k) SOLUTIONS THROUGH A NETWORK OF ADMINISTRATORS**



<b>Organization/program</b>	Mid Atlantic Financial Platforms, Inc. ETFxChange	SunGard ETF Solution
<b>Program information</b>	11,000 plans in custody	<ul style="list-style-type: none"> <li>▪ SunGard is ranked 435 on the Fortune 500 in 2009</li> <li>▪ Over 450,000 plans are administered on SunGard's recordkeeping systems</li> </ul>
<b>Location</b>	Pittsburgh, PA	Wayne, PA
<b>Size of plans</b>	No plan restrictions	No plan restrictions
<b>Administrative fees</b>	Customized for each plan	Customized for each plan
<b>Asset-based fees and commissions</b>	Custody and trading 16–21 bps No commissions	Custody and trading 16–21 bps No commissions
<b>TPA and recordkeepers available</b>	Over 100 or use your own	Over 1,000
<b>System compatibility</b>	All major RK systems	Relius, Omni, and all major RK systems
<b>Contact information</b>	1-800-693-7800	stnmarketing@sungard.com www.sungard.com/etfsolution

Descriptions of Mid Atlantic Financial Platforms' solutions are based on information provided by Mid Atlantic Financial Platforms. Descriptions of SunGard's solutions are based on information provided by SunGard. The programs above are not offered by SEI or its affiliates. Since every client's circumstances and requirements are different, you should do your own due diligence and make your own assessment.

**Carefully consider the iShares Funds' investment objectives, risk factors and charges and expenses before investing. This and other information can be found in the Funds' prospectuses, which may be obtained by calling 1-800-iShares (1-800-474-2737) or by visiting www.iShares.com. Read the prospectuses carefully before investing.**

**Investing involves risk, including possible loss of principal. Asset allocation may not protect against market risk.**

iShares transactions may result in record-keeping fees, but the savings from lower annual fees can help offset these costs. Other plan fees may apply.

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